**Securities Transfer Request Form**

**DATE:** Click or tap to enter a date.

|  |
| --- |
| FMSec Account Code: Click or tap here to enter text. FMSec Account Name: Click or tap here to enter text. |
| [ ]  **Stock Transfer In** | [ ]  **Stock Transfer Out** |
| **Transfer from another broker to FirstMetroSec** | **Transfer from FirstMetroSec to another broker** |
| **Counterparty Details** |
| Broker Name Click or tap here to enter text. |
| Broker Contact Person Click or tap here to enter text. |
| Broker Contact Number Click or tap here to enter text. |
| Account Name Click or tap here to enter text. |

*\*No change in beneficial ownership*

|  |  |  |  |
| --- | --- | --- | --- |
|  # | Stock Ticker Code | Number of Shares | Average Price (optional) |
| 1 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 2 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 3 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 4 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 5 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 6 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 7 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 8 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 9 | Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |
| 10 |  Click or tap here to enter text. |  Click or tap here to enter text. |  Click or tap here to enter text. |

*\** If there is no value for the average price, we will be using the previous day's closing price.

I/we warrant that I/we am/are the beneficial owner/s of all the investments specified above, and there is no encumbrance affecting such shares, nor any agreement to create any and that I have the full power and authority to execute this transfer.

Further, I/we represent that the information provided above is true and correct to the best of my knowledge and may be fully relied upon by First Metro Securities. I/we understand that a false or incorrect statement may cause my request of transfer to be denied. I/we agree to indemnify and hold harmless First Metro Securities from and against any and all claims, losses, liabilities, or damages arising out or, involving, or in connection with the execution of this transfer form.

Click or tap here to enter text.

FirstMetroSec Account Name & Signature

***Important Note:*** *(1) Account name in Other Broker should be exact match with Account Name in FirstMetroSec. (2) Transfer fee of P75.00 per company will be deducted from FirstMetroSec stock trading account. (3) Please send us a copy of this request thru* [*help.firstmetrosec.com.ph using Transfer of Shares form*](https://help.firstmetrosec.com.ph/hc/en-us/requests/new?ticket_form_id=900001117043)*. (4) Kindly save as PDF and provide both FirstMetroSec and the counterparty broker with a copy of this form.*